

# The shape of a long leaf

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**Long leaves in terrestrial plants and their submarine counterparts, algal blades, have a typical, saddle-like midsurface and rippled edges. To understand the origin of these morphologies, we dissect leaves and differentially stretch foam ribbons to show that these shapes arise from a simple cause, the elastic relaxation via bending that follows either differential growth (in leaves) or differential stretching past the yield point (in ribbons). We quantify these different modalities in terms of a mathematical model for the shape of an initially flat elastic sheet with lateral gradients in longitudinal growth. By using a combination of scaling concepts, stability analysis, and numerical simulations, we map out the shape space for these growing ribbons and find that as the relative growth strain is increased, a long flat lamina deforms to a saddle shape and/or develops undulations that may lead to strongly localized ripples as the growth strain is localized to the edge of the leaf. Our theory delineates the geometric and growth control parameters that determine the shape space of finite laminae and thus allows for a comparative study of elongated leaf morphology.**

growing surfaces | edge actuation | leaves | buckling | rippling

Laminae, or leaf-like structures, are thin, i.e., they have one dimension much smaller than the other two. They arise in biology in a variety of situations, ranging from the gracefully undulating submarine avascular algal blades (1) to the saddle-shaped, coiled or edge-rippled leaves of many terrestrial plants (2). The variety of their planforms and three-dimensional shapes reflects both their growth history and their mechanical properties and poses many physico-chemical questions that may be broadly classified into two kinds: (i) How does inhomogeneous growth at the molecular and cellular level lead to the observed complex shapes at the mesoscopic/macrosopic level? and (ii) how does the resulting mesoscopic shape influence the underlying molecular growth processes? At the molecular level, mutants responsible for differential cell proliferation (3) lead to a range of leaf shapes. At the macroscopic level, stresses induced by external loads lead to phenotypic plasticity in algal blades that switch between long, narrow, blade-like shapes in rapid flow to broader undulating shapes in slow flow (1). Understanding the origin of these morphological variants requires a mathematical theory that accounts for the process by which shape is generated by inhomogeneous growth in a tissue. Recent work has focused on some of these questions by highlighting the self-similar structures that form near the edge because of variations in a prescribed intrinsic metric of a surface (4, 5), and also on the case of a circular disk with edge-localized growth (6–8), but does not consider the subtle role of the boundary conditions at the free edge, the effect of the finite width of a leaf, or the phase space of different shapes that quantify the diversity in leaf morphology.

Motivated by our experimental observations of long leaves and artificial mimics thereof, here we address the question of the morphology of a long leaf or lamina of finite dimensions (length  $2L$ , width  $2W$ , thickness  $H$ , with  $H \ll W < L$ ). In particular, we pose mathematically a nonlinear boundary-value problem that accounts for the coupling of growth to the shape of a lamina. We analyze the resulting equations by using a combination of scaling concepts, asymptotics, and stability analysis to deduce the various morphologies that arise and show that the finite width of a long leaf leads to a qualitatively new class of shapes, such as saddles and rippled surfaces. We corroborate these by using

numerical simulations to construct a simple phase diagram for the classification of the long leaf morphology.

## Observations and Experiments

**Shape of a Plantain Lily Leaf.** In Fig. 1A, we show a typical long leaf of the plantain lily *Hosta lancifolia*. We see that the midvein curvature is largest near the distal end and monotonically decreases towards the base, whereas the lamina attached to the midvein is bent transversely so that the leaf is shaped roughly like a saddle, with negative Gaussian curvature. However, the edges of the leaf show a localized undulatory rippling pattern superposed on the global saddle-shape that is most prominent where the lamina is the widest. This morphology is relatively common in leaves and petals of vascular and avascular plants that grow in air and water, i.e., it is relatively independent of the effects of gravity. To focus on the relative role of inhomogeneous growth and the intrinsic elastic nature of the thin leaf, we cut the lamina into thin strips parallel to the midvein. We see that the relatively stiff midvein unbends from its naturally curved state (when the lamina is attached to it) to a straight state, except near its tip, whereas the relaxed length of the strips after they have straightened is different from that when they are part of the whole leaf. The strips further from the midvein extend more, i.e., the nonuniform distribution of growth-induced strain is such that it generates compressive stresses along the leaf edges, which can lead to buckling instabilities. These observations are consistent with prior measurements in vascular and avascular blades (1, 2), which show similar trends.

**Shape of a Stretched Ribbon.** To mimic growth differentially in a thin lamina, we stretch a naturally flat thin foam ribbon ( $2\text{ mm} \times 4\text{ cm} \times 11\text{ cm}$ ) inhomogeneously past its elastic limit and then unload it. The inhomogeneous residual plastic strains that remain cause the sheet to relax to a bent state. Moderate stretching strains ( $\sim 5\%$ ) lead to a saddle-like shape, and large stretching strains ( $\sim 20\%$ ) lead to ripples along its edge, shown in Fig. 1B, along with the lateral strain distributions shown in Fig. 1C. This gradient in plastic strain is introduced easily by pulling the edges of the foam with fingers and it leads to a plastic strain that peaks along the edge and decreases toward the midline. We see that the effect of the inhomogeneous residual strain is equivalent to that of inhomogeneous, growth-induced strain\*.

Analogous phenomena arise elsewhere and perhaps afford easier ways to understand the basic mechanism of shaping a lamina. For example, when knitting or crocheting a scarf, if the number of stitches or knots per unit length is increased as one moves away from the center line, the scarf first forms a saddle shape because such a shape easily accommodates the small excess length of the edges relative to the middle. Eventually, the edge length becomes so large that the edge itself starts to ripple. A similar phenomenon is seen in potato chips made by frying circular disks of soft, wet

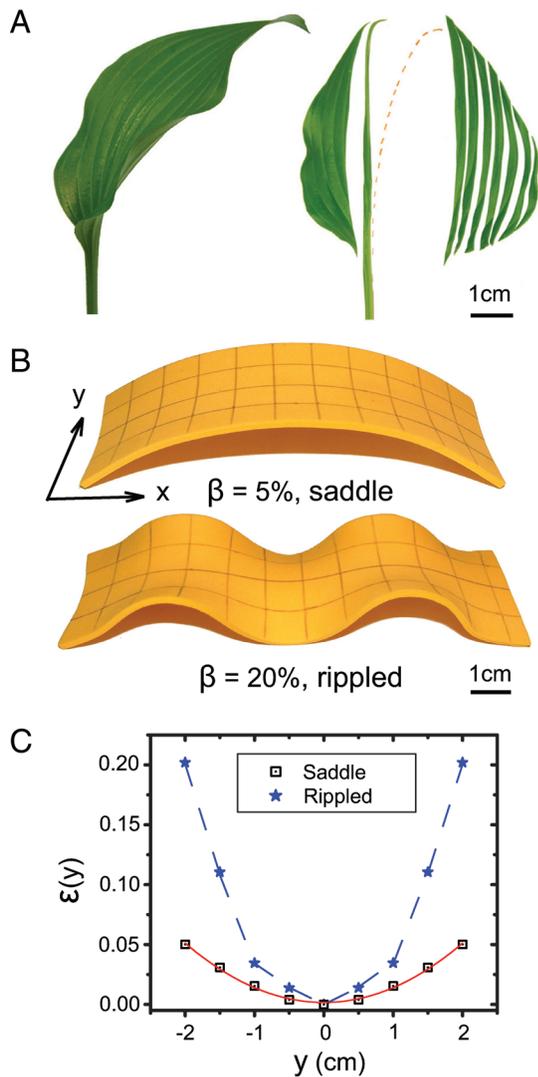
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\* In the case of the stretched ribbon, there is in general some thinning of the material because of the Poisson effect as well, but we ignore this in our qualitative description of the phenomenon.



**Fig. 1.** Experiments and observations of long leaf and ribbon morphology. (A) Shape of a plantain lily *Hosta lancifolia* leaf showing the saddle-like shape of the midsurface and the rippled edges. Dissection along the midrib leads to a relief of the incompatible strain induced by differential longitudinal growth and causes the midrib to straighten, except near the tip, consistent with the notion that the shape is a result of elastic interactions of a growing plate. The dashed red line is the original position of the midrib. (B) A foam ribbon that is stretched beyond the elastic limit relaxes into a saddle shape when the edge strain is  $\beta \sim 5\%$ , but relaxes into a rippled shape when the edge strain is  $\beta \sim 20\%$ . (C) The observed lateral strain  $\epsilon(y)$  is approximately parabolic for the saddle-shaped ribbon but is localized more strongly to the edge for the rippled ribbon.

potatoes; the edges lose water and dry out first, after which their perimeter remains roughly constant. Additional drying of the interior causes the disk to shrink radially and thus leads to the potato chip to form a saddle shape with crinkled edges. In all these varied phenomena, it is the in-plane differential strain that results in the observed complex undulatory morphologies.

**Theory of a Growing Blade**

**Generalized Föppl–von Kármán Equations.** To understand the observations and experiments described in the previous section, we consider a naturally flat, stress-free, thin, isotropic, elastic plate of thickness  $H$ , width  $2W$ , and length  $2L$  ( $H \ll W < L$ ) lying in the  $xy$  plane ( $x$  along the length and the normal  $z$  in the thickness direction). When such a plate grows inhomogeneously, different parts of it are strained relative to one another. To quantify this

differential strain, we consider the deformation map that takes a point on the center–surface of the flat plate with coordinates  $(x, y, 0)$  to its deformed state  $(x + u_x(x, y), y + u_y(x, y), \zeta(x, y))$ . Here,  $(u_x(x, y), u_y(x, y))$  is the in-plane displacement field and  $\zeta(x, y)$  is the out-of-plane displacement. Then any point in the plate  $(x, y, z)$  will then be approximately mapped to  $(x + u_x(x, y) + z\zeta_{x,x} + u_y(x, y) + z\zeta_{y,y}, z + \zeta(x, y))^\dagger$ . Here and elsewhere  $A_x = \partial A / \partial x$ . Then the in-plane strain tensor associated with this deformation field is given by  $\epsilon_{ij} = \frac{1}{2}(u_{i,j} + u_{j,i}) + \frac{1}{2}\zeta_{,i}\zeta_{,j}$ , where  $i, j = x, y$ , and we have kept only the leading order terms in the gradients of the in-plane and out-of-plane displacement fields<sup>‡</sup>. The out-of-plane deformations are characterized by the curvature tensor, which in its linearized form, reads as  $\kappa_{ij} = \zeta_{,ij}$ . The scale separation induced by the small thickness of the plate allows for a linear decomposition of the strain and curvature tensors to the sum of an elastic and a growth component. Then  $\epsilon_{ij} = \epsilon_{ij}^e + \epsilon_{ij}^g - z\kappa_{ij}^g$ , where  $\epsilon_{ij}^e(x, y)$  is the elastic strain tensor and  $\epsilon_{ij}^g(x, y)$  is the in-plane growth strain tensor, and similarly,  $\kappa_{ij} = \zeta_{,ij} = \zeta_{,ij}^e + \kappa_{ij}^g$  with  $\kappa_{ij}^g$  the growth curvature tensor.

Assuming that the thin plate may be described as a linearly elastic material with Young’s modulus  $E$ , Poisson’s ratio  $\nu$ , it has a 2D Young’s modulus  $S = EH$  and bending stiffness  $B = EH^3/12(1 - \nu^2)$ . Then the balance of forces in the plane and out of the plane for the thin plate lead to a generalized form of the Föppl–von Kármán plate theory (9) given by

$$\nabla^4 \Phi = -S(\kappa_G + \lambda_g) \tag{1}$$

$$B\nabla^4 \zeta = [\zeta, \Phi] - B\Omega_g, \tag{2}$$

where the operators  $\nabla^4 A = A_{,xxxx} + A_{,yyyy} + 2A_{,xxyy}$  and  $[A, B] = A_{,xx}B_{,yy} + A_{,yy}B_{,xx} - 2A_{,xy}B_{,xy}$ .  $\Phi$  is the Airy function that defines the in-plane force per length according to  $N_x = \Phi_{,yy}$ ,  $N_y = \Phi_{,xx}$  and  $N_{xy} = -\Phi_{,xy}$ . Here Eq. 1 is the strain (in)compatibility relation, whose right side that has two components: (i) metric incompatibility due to the growth induced by Gaussian curvature  $\kappa_G = \frac{1}{2}[\zeta, \zeta] = \zeta_{,xx}\zeta_{,yy} - \zeta_{,xy}^2$ , and (ii) metric incompatibility due to in-plane growth

$$\lambda_g = \epsilon_{xx,yy}^g + \epsilon_{yy,xx}^g - 2\epsilon_{xy,xy}^g. \tag{3}$$

Eq. 2 describes the balance of forces perpendicular to the sheet. The left side is the balance induced by plate bending, whereas the first term on the right  $[\zeta, \Phi] = N_x\kappa_{xx} + N_y\kappa_{yy} + 2N_{xy}\kappa_{xy}$  is just a generalized Laplace law due to the in-plane forces and the curvature, and the second term on the right is the pressure induced by variations in the growth curvature tensor,

$$\Omega_g = (\kappa_{xx}^g + \nu\kappa_{yy}^g)_{,xx} + (\kappa_{yy}^g + \nu\kappa_{xx}^g)_{,yy} + 2(1 - \nu)\kappa_{xy,xy}^g. \tag{4}$$

In general, the resulting strains (and stresses) feed back on the growth processes eventually shutting them down, although we do not consider this process here.

To complete the formulation of the problem, we need to specify the form of the growth strain tensor  $\epsilon_{ij}^g$  and the growth curvature tensor  $\kappa_{ij}^g$  and some boundary conditions. Although a variety of forms of the growth tensors may be biologically plausible, here we restrict ourselves to a consideration of a single nonzero component of the growth tensor so that  $\epsilon_{xx}^g = \epsilon_g(y)$ , consistent with our own observations and experiments as well as earlier experiments (1, 3) on long, leafy blades with  $W < L$ . This leads to excess longitudinal growth along the ribbon that varies in magnitude transversely. We choose the power law form  $\epsilon_g(y) = \beta(\frac{y}{W})^n$

<sup>†</sup> This is the leading order contribution from differential growth across the thickness of the plate consistent with thin plate theory.

<sup>‡</sup> This corresponds to the classical theory of weakly nonlinear deformations used in the Föppl–von Kármán theory and is valid when  $\zeta_x, \zeta_y \ll 1$ .

for all calculations mainly for convenience<sup>8</sup>, with  $\beta$  characterizing the maximum growth strain and  $n$  the gradient (or exponent) of differential growth.

For the case of a growing blade with straight edges parametrized by  $\Gamma \equiv (x = \pm L, y = \pm W)$ , the condition that the boundaries are free of torques and forces implies that (9)

$$(\zeta_{,aa} + \nu\zeta_{,bb})|_{\Gamma} = 0, (\zeta_{,aaa} + (2 - \nu)\zeta_{,abb})|_{\Gamma} = 0, \quad [5]$$

where  $(a, b)$  are the unit normal and tangent to the edge of the blade. We note that the boundary value problem in Eqs. 1–5 can be derived from a variational principle (SI Appendix), and also describes the thermoelastic deformations of a thin plate (9), with thermal strains being replaced by growth strains.

**Dimensionless Equations for the Growth of a Long Lamina.** Motivated by our experiments and observations of laminae with transversely varying growth in the longitudinal direction, we consider the simplified setting for the generalized Eqs. 1 and 2 with  $\lambda_g = \epsilon_{xx,yy}^g \equiv \epsilon_{g,yy}$  and  $\Omega_g = 0$  for an infinitely long lamina, i.e.,  $L \rightarrow \infty$ . Further defining the dimensionless variables  $\bar{x} = x/H$ ;  $\bar{y} = y/H$ ,  $\bar{\zeta} = \zeta/H$ ,  $\bar{\Phi} = \Phi/EH^3$ ,  $\bar{w} = W/H$ , Eqs. 1 and 2 can be rewritten, on dropping the bars, as

$$\nabla^4 \Phi = -(\epsilon_{g,yy} + \zeta_{,xx}\zeta_{,yy}) \quad [6]$$

$$\nabla^4 \zeta = C\zeta_{,xx}\Phi_{,yy}, \quad [7]$$

where  $C = 12(1 - \nu^2)$ , with the Poisson ratio  $\nu = 0.3$ , subject to the boundary conditions of vanishing force and torque at the free edges, i.e.,

$$\zeta_{,yy}|_{y=\pm w} = (\zeta_{,yy} - \nu\zeta_{,xx})|_{y=\pm w} = 0. \quad [8]$$

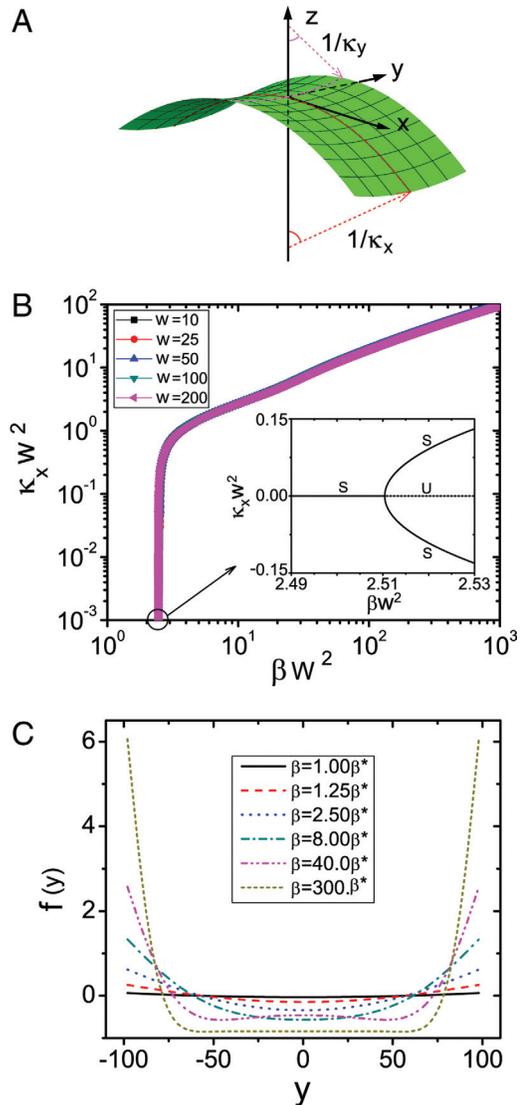
### Analysis

Our experiments on foam ribbons show two characteristic buckling modes. For low values of the edge-strain, we find a global deformation associated with a long-wavelength saddle shape, whereas for larger values of the edge-strain, we find a short-wavelength edge rippled mode while the ribbon itself is flat on average. To explore the conditions when these different modes arise, we use a combination of scaling theory and stability and asymptotic analysis of various boundary value problems.

**Scaling.** When the ribbon is doubly bent into a saddle shape with positive transverse curvature  $\kappa_y$  and negative longitudinal curvature  $-\kappa_x$  (Fig. 2A), the contour length of the edge is longer than that of the center line, leading to a partial relaxation of the excess marginal growth strain by out-of-plane deformation. Assuming that the edge deflection  $\delta \ll w$ , the edge growth strain  $\beta \sim \delta\kappa_x$  whereas the lateral curvature  $\kappa_y \sim \delta/w^2$ . Interestingly, this implies that the Gaussian curvature  $\kappa_x\kappa_y \sim \beta/w^2$  is independent of  $\delta$  in the neighborhood of the onset of buckling, suggestive of the presence of a soft mode of deformation. The dimensionless bending energy per length  $u_b = U_b/EWH \sim \beta^2/\delta^2 + \delta^2/w^4$ , whereas the dimensionless stretching energy per length due to in-plane growth  $u_s^g = U_s^g/EWH \sim \beta^2$ . At the onset of buckling, the dimensionless deflection  $\delta \simeq 1$ , and  $u_s^g \sim u_b$  so that the critical strain for buckling, into a saddle shape  $\beta^* \sim 1/w^2$ , and is dependent on the dimensionless width of the ribbon due to growth strain gradients in that direction. A similar saddle shape is observed in a disc that grows anisotropically (7).

Alternately, the sheet can buckle into a set of periodic ripples of wavelength  $\Lambda$ , and dimensionless wavenumber  $k = 2\pi H/\Lambda$ . Because these ripples are generated by differential strains that are largest along the lateral edges of the sheet, it is useful to consider the persistence of a pinch of amplitude  $\delta$  and wavelength  $1/k$

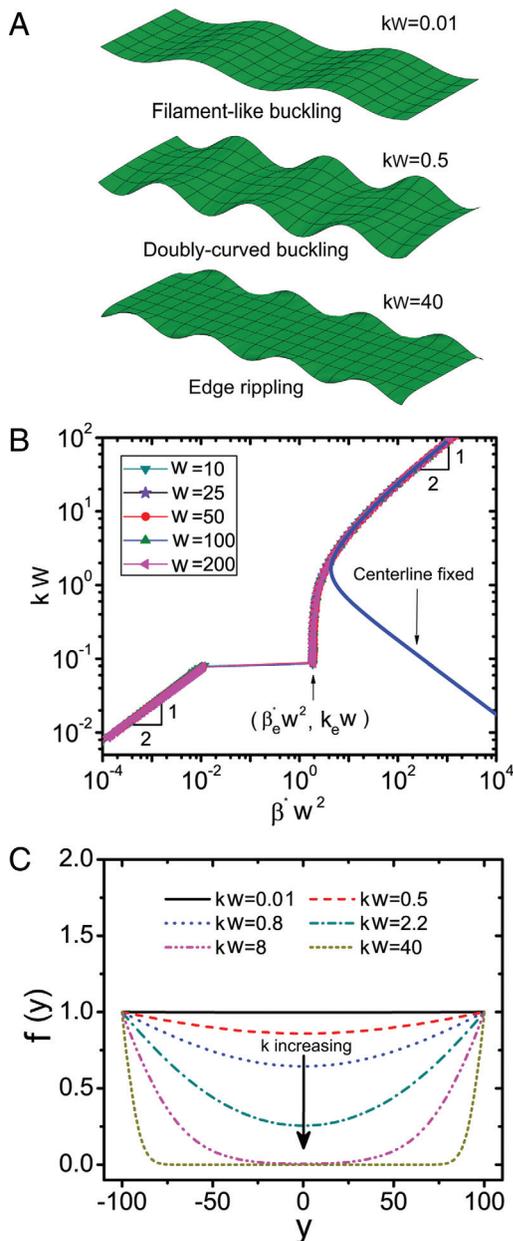
<sup>8</sup> Alternative form of exponential law like  $\beta e^{-\frac{n(y-W)}{W}}$  yield similar qualitative results.



**Fig. 2.** Characterization of saddle-shaped laminae. (A) A saddle shaped lamina corresponding to a scaled width  $w = 10$ , growth exponent  $n = 10$ , scaled maximum growth strain  $\beta w^2 = 6.5$  and  $\kappa_x w^2 = 1.9$ . (B) The postbuckling behavior shows the scaled curvature  $\kappa_x w^2$  vs.  $\beta w^2$  on a log-log plot; the results for different  $w$  collapse onto a single curve. The *Inset* shows that the onset of buckling occurs via a supercritical pitchfork bifurcation, with  $\kappa_x w \sim (\beta - \beta^*)^{1/2}$ , with  $\beta^* w^2 \simeq 2.51$  for all values of the growth exponent  $n$ ; only the positive branch is meaningful here. (Notation: S-stable, U-unstable.) (C) Cross-sectional profile  $f(y)$  for different values of the maximum growth strain  $\beta$ , when the critical growth strain  $\beta^* w^2 = 2.51$  and  $w = 100$ .

given by  $l_p \sim \delta^{1/2}/k$ , (10, 11). Approximate inextensibility implies that  $\beta \sim k^2\delta^2$ , so that  $l_p \sim \beta^{1/4}/k^{3/2}$ . Since the wavenumber  $k$  is itself a function of  $\beta$ , this relation serves as a self-consistency check as we will see later. Depending on the ratio of  $l_p/w$ , we expect three types of buckling modes shown in Fig. 3A.

**1. Filament-like buckling.**  $l_p \gg w$ : The scaled longitudinal bending energy  $u_b \sim k^4$  is comparable with the stretching energy induced by growth  $u_s^g \sim \beta^2$  and yields a critical strain  $\beta^* \sim k^2$ . Then the pinch persistence length  $l_p \sim \beta^{*1/4}/k^{3/2} \sim 1/k \gg w$ , i.e., there is essentially no variation laterally. The fact that  $\beta^*$  is independent of the width and vanishes as  $k \rightarrow 0$  indicates that an infinitesimal edge-growth strain yields a corresponding critical wavelength that diverges. Though counterintuitive, this result is consistent with that for classical Euler-buckling of a strut where the dimensional buckling load  $F \sim B/L^2$  vanishes as column length  $L \rightarrow \infty$ .



**Fig. 3.** Characterization of rippled laminae. (A) Three types of periodic buckling modes arise depending on the persistence of an edge pinch, or equivalently the ratio of the wavelength of the mode  $1/k$  to the width  $w$ . Filament-like buckling for  $kw \ll 1$ , doubly-curved buckling for  $kw \sim 1$ , and edge rippling for  $kw \gg 1$ . (B) Rescaled critical strain wavenumber  $kw$  vs.  $\beta^*w^2$ , showing three distinct regimes associated with the above. The solid line corresponds to the case when the center-line of the ribbon is clamped. (C) The cross-sectional profile  $f(y)$  for different scaled wavenumbers, when  $w = 100$ , with the growth law  $\epsilon_g = \beta(y/w)^{10}$  shows how the periodic ripples localize to the edge as  $kw$  increases.

**2. Doubly-curved buckling.**  $l_p \sim w$ : The bending energy is the same of the saddle-like configuration, i.e.,  $u_b \sim (\beta^2 + 1/w^4)$ . Comparing this with the stretching energy induced by growth,  $u_s^g \sim \beta^2$  yields a critical strain  $\beta^* \sim 1/w^2$ , now dependent on the width of the ribbon. The ribbon is now doubly curved with negative Gaussian curvature, and  $l_p \sim w$ , i.e., the ripples on either edge interact.

**3. Edge rippling.**  $l_p \ll w$ : Comparing the dimensionless bending energy  $u_b \sim l_p k^4$  with the dimensionless stretching energy

$u_s^g \sim l_p \beta^2$  yields  $\beta^* \sim k^2$ . Again,  $\beta^*$  is not dependent on the width, whereas  $l_p \sim 1/k \ll w$ , i.e., the ripples are localized to the edge.

**Stability of a Strained Ribbon.** To go beyond the scaling analysis above, we now solve the dimensionless Eqs. 6–8.

**Saddle buckling.** When the characteristic in-plane growth strain reaches a critical value  $\beta^*$ , the flat ribbon undergoes a transition to a saddle-shaped catenoid (Fig. 2). To approximate the shape, here we use a shallow-shell ansatz (Fig. 2A), valid when  $|\kappa_x f(y)| \ll 1$ , which reads

$$\zeta(x, y) = -\frac{1}{2}\kappa_x x^2 + f(y), \quad [9]$$

where  $\int_{-w}^w f(y)dy = 0$ , and the longitudinal curvature  $\kappa_x > 0$  is assumed to be constant.

Global in-plane force equilibrium in the longitudinal ( $x$ ) direction requires that  $\int_{-w}^w N_x = \int_{-w}^w \Phi_{,yy} dy = 0$ , so that substituting the ansatz Eq. 9 into Eq. 6 and integrating twice yields  $\Phi_{,yy} = -\beta\gamma_g + \kappa_x f$ , where  $\gamma_g = \frac{\epsilon_g - \bar{\epsilon}_g}{\beta}$ , and the average growth strain  $\bar{\epsilon}_g = \frac{1}{2w} \int_{-w}^w \epsilon_g(y)dy = \frac{\beta}{n+1}$  for the power law form  $\epsilon_g = \beta(\frac{y}{w})^n$ . Substituting the result into Eqs. 7 and 8 yields the governing boundary value problem for normal force balance at a cross-section

$$\begin{aligned} f_{,yyyy} + C\kappa_x^2 f - C\beta\gamma_g \kappa_x &= 0 \\ f_{,yyy}|_{\pm w} &= f_{,yy} - \nu\kappa_x|_{\pm w} = 0, \end{aligned} \quad [10]$$

which is analogous to the equation for a beam on an elastic foundation of stiffness  $C\kappa_x^2$  subject to a distributed load  $C\beta\gamma_g \kappa_x$ .

The condition of global torque balance in the longitudinal ( $x$ ) direction requires that

$$\int_{-w}^w (\kappa_x - \nu f_{,yy}) + Cf(-\beta\gamma_g + \kappa_x f)dy = 0, \quad [11]$$

where the first term in brackets is the dimensionless torque due to ribbon curvature, and the second term  $Cf\Phi_{,yy}$  is the dimensionless torque due to in-plane forces. To solve the boundary value problem given by Eqs. 10–11, we use the asymptotic expansion  $f(y; \kappa_x) = \kappa_x f_1(y) + \kappa_x^3 f_3(y) + \dots$  to determine the critical growth strain  $\beta^*$  at the onset of buckling, which yields (*SI Appendix*)

$$\beta^* \simeq (0.9 + 0.155n)/w^2, n \in [2, 30] \quad [12]$$

a result consistent with our scaling analysis. The instability arises via a supercritical pitchfork bifurcation, with  $\kappa_x \sim (\beta - \beta^*)^{1/2}$  (Fig. 2B Inset; see also *SI Appendix*). To further probe the shape beyond the onset of buckling, we look for a solution to Eq. 10 of the form  $f(y) = f_p(y) + f_h(y)$ , where  $f_h(y)$  and  $f_p(y)$  are the homogeneous and particular solution of Eq. 10, combined with Eq. 11 to determine the relation between  $\beta$  and  $\kappa_x$  in the postbuckling regime (*SI Appendix*). In Fig. 2B, we show the scaled longitudinal curvature  $\kappa_x w^2$  as a function of the scaled maximum growth strain  $\beta w^2$  for different scaled widths  $w$  and  $\epsilon_g(y) = \beta(\frac{y}{w})^{10}$ . The collapse of all curves for various  $w$  onto a single master curve is consistent with the scaling law  $\beta^* \simeq 2.51/w^2$ , that follows from the asymptotic result Eq. 12. In Fig. 2B, we also see the existence of a rapid increase in  $\kappa_x w^2 \in [0.0, 0.1]$  for  $\beta w^2 \in [2.51, 2.52]$ , i.e., a small increase in the maximum growth strain  $\beta$  leads to a large change in the longitudinal curvature  $\kappa_x$ . This strongly nonlinear response is associated with a soft mode of deformation for the ribbon of nearly constant Gaussian curvature, i.e.,  $\kappa_x \kappa_y \sim \beta/w^2$ , wherein the growth-induced stretching strain is accommodated by changing the mean curvature  $\kappa_x + \kappa_y \simeq \beta/\delta + \delta/w^2$ .

In Fig. 2C we show the cross-sectional profile of the ribbon  $f(y)$  for various values of the maximum growth strain  $\beta$  (we drop the dependence on  $w$  because there is no dependence on this parameter). At the onset of buckling,  $f(y)$  is approximately parabolic, as in our foam ribbon experiments (Fig. 1B), so that it follows from





# Supplementary Information for “The shape of a long leaf”

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## I. VARIATIONAL FORMULATION OF GROWING LEAF

We note that we may derive the generalized F-vK equations (1,2) in the main text by taking the first variation of the elastic energy functional

$$F = \frac{1}{2} \int \int \left( \frac{Eh^3}{12(1-\nu^2)} F_b + \frac{Eh}{1-\nu^2} F_s \right) dx dy \quad (\text{S.1})$$

where the bending energy density  $F_b = (\zeta_{,xx}^e + \zeta_{,yy}^e)^2 + 2(1-\nu)(\zeta_{,xy}^{e2} - \zeta_{,yy}^e \zeta_{,xx}^e)$  with  $\zeta_{,ij}^e = \zeta_{,ij} - \kappa_{ij}^g$ , and the stretching energy density  $F_s = (\epsilon_{,xx}^e + \epsilon_{,yy}^e)^2 + 2(1-\nu)(\epsilon_{,xy}^{e2} - \epsilon_{,xx}^e \epsilon_{,yy}^e)$  with  $\epsilon_{,ij}^e = \epsilon_{ij} - \epsilon_{ij}^g$ .

This functional is also the continuum equivalent of the discrete functional [1] used in our numerical simulations.

## II. SADDLE BUCKLING

It is convenient to use a perturbation technique to determine the threshold for the onset of saddle buckling associated with a critical growth strain  $\beta^*$  along with the dependence on the growth gradient exponent  $n$ , when the differential growth gradient is described by a power law form  $\epsilon_g(y) = \beta(\frac{y}{w})^n$ . The governing boundary value problem for normal force balance at a cross-section, equations (10)-(11) in the main text, reads

$$\begin{aligned} f_{,yyyy} + C\kappa_x^2 f - C\beta\gamma_g \kappa_x &= 0 \\ f_{,yyy}|_{\pm w} = f_{,yy} - \nu\kappa_x|_{\pm w} &= 0 \end{aligned} \quad (\text{S.2})$$

which is analogous to the equation for a beam on an elastic foundation of stiffness  $C\kappa_x^2$  subject to a distributed load  $C\beta\gamma_g \kappa_x$ . The condition of global torque balance in the longitudinal ( $x$ ) direction requires that

$$\int_{-w}^w (\kappa_x - \nu f_{,yy}) + C f (-\beta\gamma_g + \kappa_x f) dy = 0 \quad (\text{S.3})$$

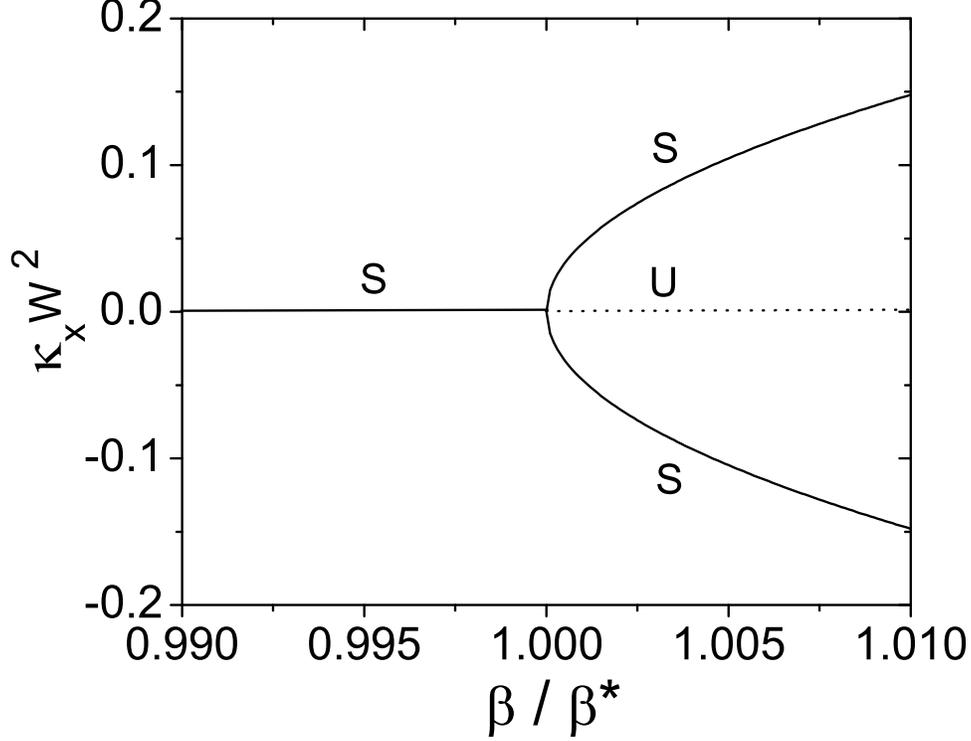


FIG. S1: A supercritical pitchfork bifurcation characterizes the transition from a flat sheet to a saddle as a function of the critical strain  $\beta$  for two different values of the growth exponent  $n = 2, 10$ . The two curves collapse onto each other when we use the scaled critical strain  $\beta/\beta^*$ .

We note the exact solution to (S.2)

$$f(y) = f_p(y) + c_1 \cosh \eta y \cos \eta y + c_2 \sinh \eta y \sin \eta y \quad (\text{S.4})$$

where  $\eta = \sqrt{C^{1/2}\kappa_x/2}$ , the particular solution  $f_p(y) = \frac{\beta}{\kappa_x} \left( \frac{y^n}{w^n} - \frac{1}{n+1} \right) + \sum_{k=1}^{[n/4]} \frac{(-1)^k \beta n! y^{n-4k}}{C^k (n-4k)! \kappa_x^{2k+1} w^n}$ . The constants  $c_1, c_2$  can be determined using the boundary conditions (S.2), while the condition (S.18) in the main text allows us to determine the relation between  $\beta$  and  $\kappa_x$  in the post-buckling regime, and yields the results shown in Figure 2.

### A. Bifurcation to a saddle

To understand the nature of the bifurcation from a flat sheet to a saddle shape, we substitute (S.4) into Eq.(11) in the main text and retain terms to leading order in  $\kappa_x$ . In Fig.S1 we plot the relation between  $\kappa_x$  and  $\beta/\beta^*$  in the neighborhood of the critical point for  $n = 2$ ,  $\nu = 0.3$  and find that the critical strain  $\beta^* = 1.2678/w^2$ , while the longitudinal

curvature follows the relation  $\kappa_x w^2 = 1.3(\beta w^2 - \beta^* w^2)^{1/2}$  near the bifurcation point, showing that the bifurcation is of a simple supercritical pitchfork type. A similar calculation for  $n = 10$ ,  $\nu = 0.3$ , yields the critical strain  $\beta^* = 2.5104/w^2$ , while the longitudinal curvature  $\kappa_x w^2 = 0.93(\beta w^2 - \beta^* w^2)^{1/2}$  near the bifurcation point.

More generally, we use the asymptotic expansion for the lateral component of the out-of-plane deformation

$$f(y) = f_1(y)\kappa_x + f_3(y)\kappa_x^3 + \dots \quad (\text{S.5})$$

where the longitudinal curvature  $0 \leq \kappa_x \ll 1$  at the onset of buckling. The presence of only odd powers in (S.5) follows from the fact that the cross section profile  $f(y)$  and  $\kappa_x$  must have the same sign. Substituting (S.5) into governing equation equations (S.2)-(S.18), at leading order in  $\kappa_x$ , we get

$$f_{1,yyyy} = C\beta\gamma_g \quad (\text{S.6})$$

subject to the boundary conditions,

$$f_{1,yyy}|_{\pm w} = f_{1,yy} - \nu|_{\pm w} = 0 \quad (\text{S.7})$$

along with the condition of global torque balance in the longitudinal ( $x$ ) direction which reads

$$\int_{-W}^W (1 - \nu f_{1,yy}) - C f_1 \beta \gamma_g dy = 0 \quad (\text{S.8})$$

Solving (S.6-S.7), we find that

$$f_1(y) = \left( \frac{n\beta C w^2}{4(n+1)(n+2)} + \frac{\nu}{2} \right) y^2 + \left( -\frac{\beta C}{24(n+1)} + \beta \left( \frac{y}{w} \right)^n \frac{Cn!}{(n+4)!} \right) y^4 \quad (\text{S.9})$$

On substituting  $f_1(y)$  into the longitudinal torque balance condition (S.8), yields the critical growth strain

$$\beta^* \simeq (0.9 + 0.155n + 0.0006n^2)/w^2 \quad (\text{S.10})$$

where  $n \in [2, 30]$  and we have assumed that the Poisson's ratio  $\nu = 0.3$ .

## B. Edge boundary layer

When the growth strain is large enough, e.g.  $\beta w^2 = 100 \ll \beta^* w^2$ , the deformation of the cross section is localized near the edges. The ribbon tends to a developable cylindrical surface in the middle but is strongly stretched due to near the edges. This boundary layer

corresponds to the exponential solution in (S.4). For simplicity, we examine the case of  $n = 2$ , so that (S.4) reads

$$f(y) = c_1 \cosh \eta y \cos \eta y + c_2 \sinh \eta y \sin \eta y + \frac{\beta y^2}{\kappa_x w^2} - \frac{\beta}{3\kappa_x} \quad (\text{S.11})$$

where the coefficients  $c_1$  and  $c_2$  are

$$c_1 = \left( -\frac{4\beta}{C^{1/2} w^2 \kappa_x} + \frac{2\nu}{C^{1/2}} \right) \frac{\cos \eta w \sinh \eta w - \cosh \eta w \sin \eta w}{\sinh 2\eta w + \sin 2\eta w} \quad (\text{S.12})$$

$$c_2 = \left( -\frac{4\beta}{C^{1/2} w^2 \kappa_x} + \frac{2\nu}{C^{1/2}} \right) \frac{\cos \eta w \sinh \eta w + \cosh \eta w \sin \eta w}{\sinh 2\eta w + \sin 2\eta w} \quad (\text{S.13})$$

Let  $z = w - |y|$  denote the distance from the edge. As  $\kappa_x w^2 \gg 1$ , (S.11-S.13) yields

$$f(y) \sim e^{-\eta z} (\cos \eta z - \sin \eta z) \quad (\text{S.14})$$

where the boundary layer length  $\xi_{BL} = 1/\eta = 0.78\kappa_x^{-1/2}$ . In Fig.2b in the main text, we show that the longitudinal curvature  $\kappa_x$  increases monotonically with growth strain  $\beta$ , and as  $\beta w^2 \gg 1$  the oscillatory boundary layer (S.14) decays rapidly away from the edges, leading to a strongly localized deformation near edges and a developable region in the middle.

### III. PERIODIC RIPPLING

For the case of periodic rippling treated in the main text, we are led to the eigenvalue problem

$$\begin{aligned} f_{,yyyy} - 2k^2 f_{,yy} + (k^4 - C\beta^* \gamma_g k^2) f &= 0 \\ f_{,yyy} - (2 - \nu) k^2 f_{,y}|_{\pm w} = f_{,yy} - \nu k^2 f|_{\pm w} &= 0 \end{aligned} \quad (\text{S.15})$$

where  $\gamma_g(y) = \beta^* ((y/w)^n - 1/(n+1))$ .

Solving the problem numerically allows us to determine the relation between the critical maximum growth strain  $\beta^*$  and the selected wave number  $k$  of the instability to a periodic mode. This leads to three regimes depending on whether  $kw \ll 1$ ,  $kw \sim O(1)$ ,  $kw \gg 1$  corresponding to what we refer to as the filament-like, doubly-curved and edge-rippling modes. In the following, we will explore the asymptotic behavior of the filament-like and edge-rippling modes in the two limits when  $kw \ll 1$  and  $kw \gg 1$ .

### A. Filament-like buckling

In Fig.3c in the main text, the cross-section profile associated with filament-like buckling looks flat in the limit  $kw \ll 1$ . Since a longitudinally rippled blade with no transverse variations is incompatible with the boundary conditions, we expect a very weak lateral curvature. We assume an asymptotic expansion for the solution of (S.15) in terms of  $k$  as

$$\begin{aligned} f(y) &= f_0(y) + f_1(y)k^2 + f_2(y)k^4 \dots \\ \beta^* &= \beta_1^*k^2 + \beta_2^*k^4 \dots \end{aligned} \quad (\text{S.16})$$

On substituting (S.16) into (S.15), we find

$$f(y) = 1 + \frac{\nu}{2}y^2k^2 + f_2(y)k^4 + \dots \quad (\text{S.17})$$

where, for example as  $n = 2$ ,

$$\begin{aligned} f_2(y) = -\frac{y^2}{120w^2} & (4\beta_1^*(15w^4 - 5w^2y^2 + y^4)(-1 + \nu^2) + 5w^2(y^2(1 - 2\nu) \\ & + w^2(6 + 12\nu - 18\nu^2) + 4wy(-1 + \nu^2))) \end{aligned}$$

Substituting (S.17) into the condition of global torque balance in the longitudinal ( $x$ ) direction yields

$$\int_{-w}^w (k^2 f - \nu f_{,yy} - C\beta^* \gamma_g f) dy = 0 \quad (\text{S.18})$$

Substituting (S.17) into (S.18) and keeping terms to order  $O(k^4)$ , we see that for  $n = 2$

$$\beta_1^* = \frac{25 + 45\nu}{32(1 + \nu)} \quad (\text{S.19})$$

Therefore, for the filament-like buckling mode when  $k \ll 1$ , the cross-sectional profile of the leaf is weakly curved so that  $f(y) \simeq 1$ , and the critical growth strain  $k \simeq (\frac{\beta^*}{\beta_1^*})^{1/2}$ , consistent with Fig.3 in the main text. Varying the growth exponent  $n$  leads to a similar qualitative conclusion.

### B. Edge buckling

In Fig.3c in the main text, we see that the cross-section profile of edge buckling is localized near the edge. We note that in the limit of  $k \gg 1$ , a trivial solution, or outer solution  $f = 0$ , satisfies the differential equation (S.15) everywhere but not the boundary conditions. This

suggests the presence of boundary layers near the edge similar to those seen in the context of the saddle-like mode for large values of the growth strain. This makes the problem amenable to solution using the method of matched asymptotic expansions. Rescaling the neighborhood of the boundary  $y = \pm w$  using the transformation  $\xi = (w - |y|)k$  allows us to convert the eigenvalue problem (S.15) into an interior problem in the vicinity of both edges. Now the boundary layer problem reads

$$\begin{aligned} f_{,\xi\xi\xi\xi} - 2f_{,\xi\xi} + (1 - C\gamma_0\beta_0^*)f &= 0 \\ f_{,\xi\xi\xi} - (2 - \nu)f_{,\xi}|_0 &= f_{,\xi\xi} - \nu f|_0 = 0 \end{aligned} \quad (\text{S.20})$$

$$f|_\infty = f_{,\xi}|_\infty = 0 \quad (\text{S.21})$$

where we notice  $\gamma_g(\xi) \rightarrow \gamma_0 = \frac{n}{n+1}$  in the interior region, and assume  $\beta^* = \beta_0^*k^2$ . We look for the general solution of (S.20) in the form

$$f(\xi) = c_1e^{-r\xi} + c_2e^{-s\xi} + c_3e^{r\xi} + c_4e^{s\xi} \quad (\text{S.22})$$

where  $r = \sqrt{1 + \sqrt{C\gamma_0\beta_0^*}}$  and  $s = \sqrt{1 - \sqrt{C\gamma_0\beta_0^*}}$ .

The conditions (S.21) imply that since the boundary layer solution must approach the interior solution as  $\xi \rightarrow \infty$ ,  $c_3 = c_4 = 0$ . The remaining two constants  $c_1$  and  $c_2$  are determined by the boundary conditions (S.20). This yields the eigenvalue

$$\beta_0^* \simeq \frac{1 + \nu^2}{12\gamma_0} \quad (\text{S.23})$$

and the eigen function

$$f(\xi) = \left(1 - \frac{\nu}{2}\right)e^{-r\xi} + \frac{\nu}{2}e^{-s\xi} \quad (\text{S.24})$$

Finally, assuming  $f(\xi)|_0 = 1$  for convenience, we can write the composite solution to the eigenvalue problem as

$$\beta^* = \frac{1 + \nu^2}{12\gamma_0}k^2, \quad f(y) = \left(1 - \frac{\nu}{2}\right)e^{-r(w-|y|)k} + \frac{\nu}{2}e^{-s(w-|y|)k} \quad (\text{S.25})$$

which shows clearly the presence of exponentially small boundary layers of size  $k$  along the lateral edges of the rippled plate that decay as  $k \gg 1$  consistent with the edge buckling mode in the growing plate.

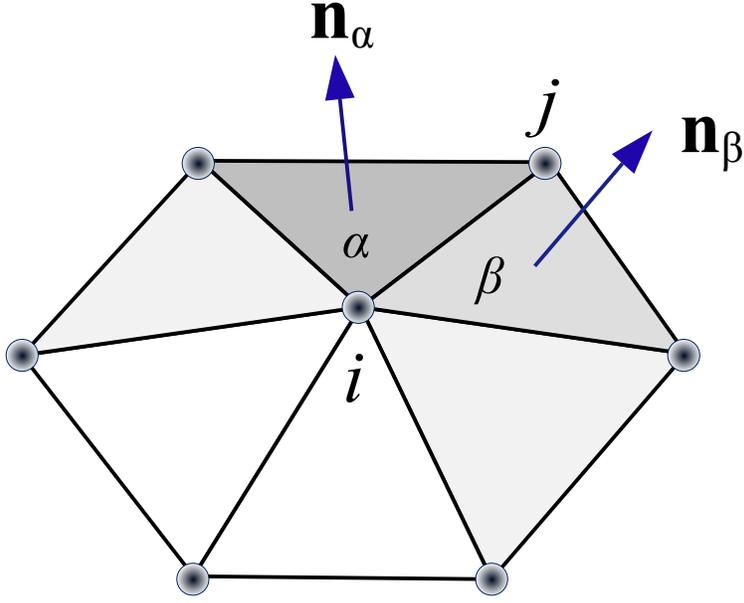


FIG. S2: Discrete triangular mesh approximation to an elastic plate. The spring  $ij$  accounts for in-plane stretching deformation, while the out-of-plane bending is penalized by the orientation difference between normals of neighboring facets.

#### IV. NUMERICAL MODEL

We follow [1] and use a discrete approximation to an elastic plate in terms of equilateral-triangular elements. We may then write the elastic energy density  $F = F_s + F_b$  as the sum of the stretching energy  $F_s = \frac{\sqrt{3}S}{4}\sum_{ij}(r_{ij} - a_0)^2$ , where  $r_{ij}$  is the current spring length and  $a_0$  is the rest spring length and the bending energy  $F_b = \frac{B}{\sqrt{3}}\sum_{\alpha\beta}(\vec{n}_\alpha - \vec{n}_\beta)^2$ , where  $\vec{n}_\alpha$  and  $\vec{n}_\beta$  are the unit normal vectors of the two facets (Figure S2), and  $S$  and  $B$  are the 2D Young's modulus and bending stiffness respectively, as defined in the main text. In the continuum limit as  $a_0 \rightarrow 0$ , the total discrete energy density  $F_s + F_b$  converges to the elastic energy density of a plate in (S.1).

To understand the morphology of growing ribbons of finite length, we construct a ribbon of width  $2W$ , length  $L = 6W$  and thickness  $H$  in contrast to the infinitely long ribbon considered in our theoretical analysis. The spring rest length  $a_0 = 1$  and Young's modulus  $E = 1$ . By varying width  $W$  and thickness  $H$  so that  $W/H \in (8, 350)$ . The growth strain is introduced by varying the rest length of the spring  $a_0$  to  $a_0(1 + \epsilon_g(y))$  incrementally, where

$\epsilon_g(y) = \beta(y/W)^n$  with  $n = 10$ . Damped molecular dynamics method [2] is adopted to minimize the system energy. The incremental growth strain of 0.01% is applied followed by 100000 steps with time step  $\Delta t = 0.1$ . The system is monitored to be in equilibrium with a criterion of average residue force per node smaller than  $10^{-10}$ . By this way, we can observe that a ribbon driven by the growth strain transit between flat,saddle and rippled phases.

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- [1] Seung HS, Nelson DR (1988) Defects in flexible membranes with crystalline order. Physical Review A **38**, 1005-1018
- [2] Allen MP, Tildesley DJ (1987) Computer simulation of liquids (Clarendon Press Oxford).