Elastohydrodynamic Scaling Law for Heart Rates

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Animal hearts are soft shells that actively pump blood to oxygenate tissues. Here, we propose an allometric scaling law for the heart rate based on the idea of elastohydrodynamic resonance of a fluid-loaded soft active elastic shell that buckles and contracts axially when twisted periodically. We show that this picture is consistent with numerical simulations of soft cylindrical shells that twist-buckle while pumping a viscous fluid, yielding optimum ejection fractions of 35%–40% when driven resonantly. Our scaling law is consistent with experimental measurements of heart rates over 2 orders of magnitude, and provides a mechanistic basis for how metabolism scales with organism size. In addition to providing a physical rationale for the heart rate and metabolism of an organism, our results suggest a simple design principle for soft fluidic pumps.

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In living organisms, a characteristic scale determined by the balance between diffusion and uptake rate is typically of the order of 1 mm. On scales larger than this, active devices are necessary to guarantee uniform access to oxygen and efficient elimination of carbon dioxide or excreta. Soft fluidic pumps such as the heart are an evolutionary innovation that solve this problem by enabling internal fluid transport in large multicellular organisms [1,2]. As organism size varies over many orders of magnitude, so does their metabolism [2,3], suggesting a natural question: what are the scaling principles behind the dynamics of the largest and most powerful pump in organisms, the heart [4,5]? A biological argument for the heart rate starts with Kleiber’s law [2], i.e., the metabolic rate $\sim (\text{body mass})^{3/4}$. Balancing the metabolic rate with the energy consumption rate $\sim (\text{heart rate}) \times (\text{heart blood volume})$ yields the power law: (heart rate) $\sim (\text{body mass})^{-1/4}$, in reasonable agreement with experimental data [2–4]. However, one may question the fundamental premise of this argument, as the theoretical assumptions underlying Kleiber’s law remain under debate [6,7].

Here, we start with a physical argument based on the idea that mechanical resonances in biological systems lead to energy economy [4,8–12]. We will see that this leads to experimentally testable predictions for the heart rate of organisms, from mice to blue whales, that have hearts of different sizes but similar geometries [13], as exemplified in Figs. 1(a) and 1(b). Furthermore, we show that the resulting scaling law provides an alternative basis for Kleiber’s law.

The pumping motion of the heart, and particularly that of the left ventricle which pumps oxygenated blood into the body, is driven by the twisting-untwisting dynamics of the cavity which relies on the helical configuration of the ventricular myocardial band [15–17] as shown in Fig. 1(c). Ventricular motion is driven by cardiac muscle cells which contain thick myosin filaments that pull on thin actin filaments during ventricular contraction [18,19]. This results

![FIG. 1. (a) Structure of a four-chambered heart. LA, LV, RA, and RV denote the left atrium, left ventricle, right atrium, and right ventricle, respectively (drawing adapted from [14]). (b) Transverse section of the ventricles of a rat, sheep, and horse (schematics adapted from [13]). The sections have been enlarged to emphasize their close resemblance. (c) Schematic of the apical loop of the ventricular myocardial band. Adapted from [15]. Periodic twisting and untwisting of the ventricle driven actively by myocardial band contraction leads to fluid pumping. (d) Simplified ventricle geometry, reduced to an elastic shell of thickness $h$, radius $R$, density $\rho_{\text{wall}}$, elastic modulus $E$, and containing a fluid (blood) of density $\rho_{\text{blood}}$. Passive end-twisting of the cylinder causes it to buckle and pump fluid.](image-url)
in contraction driven stresses within the cardiac muscular tissue that lead it to bend and buckle [20–22], reducing the internal volume of the chamber and forcing the ejection of blood through the aortic valve. Since muscles are only capable of generating contractile stresses, a passive mechanical rebound at the end of ejection would enhance the efficiency of pumping. This is therefore suggestive of an elastohydrodynamic resonance of a fluid-loaded soft elastic shell that is capable of bending and twisting as it ejects fluid over a contraction cycle.

To understand the principle determining the heart rate $f_i$, we start by assuming that the anatomy of the ventricle can be approximated by that of an elastic shell of radius $R$ and thickness $h < R$, as shown in Fig. 1(d). For relatively thin plates and shells, the bending energy scales as $O(h^3)$ while the stretching energy scales as $O(h)$, so that it is relatively easier to deform a shell by bending it [23]. Thus, it is reasonable to expect that the active stresses induced by muscles will excite the softer bending modes of deformation more easily than the stiffer stretching modes. At a scaling level, the active muscular work required to bend such a shell scales as $Eh^3k^2R^2$, where $E$ is the elastic modulus of the walls and $k \sim A/R^2$ is the wall curvature for a small amplitude of deformation $A$. This work is converted into kinetic energy of the blood (density $\rho_f$) that is pushed out of the aorta, and scales as $\rho_fR^3(Af)^2$, where we have assumed that the fluid velocity scales as $vfA$. Equating the muscular work with the kinetic energy of blood over a cycle yields an estimate for the frequency of a fluid-loaded soft elastic shell as

$$f_i \approx \frac{c_{\text{shape}}}{2\pi} \sqrt{\frac{E}{\rho_{\text{blood}}} \frac{h^{3/2}}{R^{5/2}}} ,$$

where $c_{\text{shape}}$ is a dimensionless constant that is determined by the shape of the ventricle ($c_{\text{shape}} \approx 1/2$ for a sphere, and $c_{\text{shape}} \approx 1/\sqrt{6}$ for a cylinder), first suggested theoretically by one of us in [24]. For a human heart, $h \sim 10$ mm, $R \sim 30$ mm, $E \sim 10^4$ Pa [25,26], and $\rho_f \sim 10^3$ kg/m$^3$, which gives an elastohydrodynamic resonance frequency $f_i \sim 1$ Hz, in agreement with the observations [27]. For comparison, we also addressed the case of a soft pump dominated by stretching deformations (see Supplemental Material [28]), which leads to different scaling law and a resonance frequency much higher than that measured experimentally.

To further test the idea of the heart as an elastohydrodynamically resonant pump, we now turn to numerical simulations. Our approach builds on and complements the large number of studies on the fluid-structure interaction in coronary flows, heart valve dynamics, and ventricular flows [46–53]. We do this in a simplified setting by starting with an elastic cylindrical shell immersed in a fluid which can deform by bending, shearing, and stretching. For thin and even relatively thick shells, the dominant modes of deformation are those associated with twisting and bending as these are energetically cheaper and thus easier to activate using muscles, consistent with observations of deformation of the heart ventricle [15,54]. Indeed, observations with a rubber cylindrical shell (see Supplemental Material [28] for details and experimental realization for such a model), confirm that twisting leads to a spontaneous buckling instability of the cylinder into a wrinkled tube (with a wavelength that scales with the radius of the cylinder) that also shrinks axially. This mode of deformation reduces the internal volume of the cylinder and thus can be easily harnessed to pump fluid. A full cycle is complete when the cylinder is then brought back to its initial position by untwisting it. The geometry of the shell is characterized by its aspect ratio $L/R$ and thickness ratio $h/R$, where $L$, $R$, and $h$ are the length, radius and thickness of the shell, respectively. In the simulations, we fix the aspect ratio to $L/R = 3$ and vary the thickness ratio $h/R$, and the shape of the shell is controlled by twisting at one end while keeping the other fixed. A total twist of 90° is imposed at one end and the shape evolution is computed in a quasi-static way by minimizing the bending and stretching energy of the surface [55]. The surface of the cylinder is discretized using approximately 10 000 triangular elements and the material is assumed to be incompressible. The cylindrical shell is immersed in a Cartesian box of size $4L \times 4L \times 4L$ filled with a fluid of kinematic viscosity $\nu$. The boundary conditions imposed on the faces of the Cartesian box perpendicular to the cylindrical axis allow the free flow of fluid into and out of the domain, while free-slip boundary conditions are imposed on the other four faces [37,38]. Through domain dependency tests, we ensure that the boundary conditions and domain size do not influence the final results (see Supplemental Material [28] for details of our numerical model).

In Fig. 2(a), we show snapshots of the shape evolution of the shell from the numerical simulations for a thickness ratio of $R/h = 10$. In Fig. 2(b), we show the net ejection fraction as a function of the driving frequency, in scaled form defined as $(\dot{V}_{s}/\Delta V_s)$ where $\dot{V}_{s}$ is the net volume of fluid pumped along the axis of the cylinder and $\Delta V_s$ is the difference between the initial and final inner volumes of the shell during deformation. The driving Reynolds number, characterizing the ratio of the inertial to viscous forces is defined as $Re = \pi (2R)^2 f / \nu$, where $f$ is the frequency of the twist-untwist cycle physically imposed on the open face of the cylindrical shell. For each of four different cylinder thickness ratios $R/h (\in [5,20])$, one can clearly observe a nonmonotonic dependence of the pumping efficiency on the driving Reynolds number. At low $Re$, due to the dominance of the viscous forces over inertial forces, any fluid pumped out during twisting comes back into the shell during untwisting thus leading
to a near-zero net pumping rate. As the driving Re increases, inertial effects come into play which leads to symmetry breaking and net pumping of fluid in one direction along the cylinder axis. When Re is further increased, excessive viscous dissipation from high intensity vorticity regions near the buckles of the cylindrical shell significantly reduces the pumping efficiency. This leads to a nonmonotonicity in the pumping efficiency as a function of Re, and the driving frequency, as seen in Fig. 2(b). Furthermore, despite the relatively small bending strains (which are of the order of $Ah/R^2 \sim 5\%$), we see that a combination of buckling instabilities working in tandem with elastohydrodynamic resonance can lead to ejection fractions of the order of 35%–40%, explaining a long-standing puzzle in heart physiology [54,56].

These numerical simulations confirm that there is an optimal frequency of pumping to maximize ejection fraction in an actively driven elastic cylindrical shell, and that the optimal frequency varies with varying thickness ratio. In the inset of Fig. 2(b), we plot the optimal pumping frequency versus the thickness ratio for the four thickness ratios considered and observe that the frequency roughly follows a scaling $f \sim (h/R)^{3/2}$, consistent with the scaling law (1). The frequency of pumping for a cylindrical shell of a given thickness is optimal when the driving is strong enough to overcome time reversibility in the low Reynolds regime, but not so strong as to produce intense viscous dissipation of the fluid near the buckling regions during the twist-untwist cycle.

To test the theoretical scaling law for the heart rate $f_t$ given by (1), we now compare it with experimental measurements of heart rate $f_e$ across different species [57]. Using data for the average radius and thickness of 38 mammalian and avian left ventricles (see Supplemental Material [28] for details), Fig. 3 shows the experimentally observed heart rate versus the theoretical frequency. We see good agreement between the two in terms of both the trend and, equally importantly, the actual numerical values. Our results are also quantitatively consistent with recent experiments on a tissue-engineered heart ventricle [24] and show that the maximum ejection fraction is achieved when the heart is resonantly forced. Delving deeper into the experimentally observed values of the ventricle radius and its thickness, which together determine the geometrical factor in (1), we find that the typical wall thickness $h$ of the left ventricle is nearly proportional to its typical radius $R$, with a scaling $h \sim R^\alpha$ where $\alpha = 1.15 \pm .06$ (see Supplemental Material [28] for details). This implies that $f_e \sim f_t \sim R^\beta$, where $\beta = -0.78 \pm 0.09$, in good agreement with experimental data (see Fig. S3 of the Supplemental Material [28]).
We now turn to discuss the implications of our elastohydrodynamic scaling law on metabolic demands in organisms and across species. Since the red blood cell size (∼10 μm) and the hemoglobin density in the cells (∼100 g/L) are approximately constant in mammals [2], the volume of oxygen transported within one heartbeat universally scales as the volume of the heart, which itself scales as the volume of the animal [57,58]. The metabolic rate, which is proportional to the rate of oxygen transport, therefore scales as Q_{metabolic} ∼ R^3 f_t ∼ M^γ, where M is the animal body mass and γ = 1 + β/3 = 0.74 ± 0.03. This combination of structural, dynamic, and functional constraints thus provides an alternative physical basis for Kleiber’s law [2], based on the geometry, elasticity, and dynamics of the soft fluid pump that powers organisms. All together, these laws provide a physical basis for the scaling of heart rates and metabolism as a function of body size, consistent with the matching of (heart) form, dynamics, and (physiological and metabolic) function in organisms [61].

Finally our results also suggest a design principle for soft fluidic pumps [24,59,60]: by taking advantage of elastohydrodynamic resonance, they can operate far more efficiently than otherwise. This is consistent with numerical simulations of the coupled elastohydrodynamic problem linking the elastic buckling of thin shells to viscous fluid flow, showing how relatively large ejection fractions can be achieved when the pump is resonantly driven. How this design might have arisen during the evolution of fluidic pumps in natural and engineered systems is a question for the future.

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See Supplemental Material at ... 10.1103/PhysRevLett.125.058102 for details of (i) numerical simulations, (ii) experiments with twist-buckling of a cylindrical shell, (iii) experimental data on heart size and rates across organisms, which includes Refs. [28–44].


Supplementary Information for 
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by 
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SCALING LAW FOR HEART RATE WHEN DOMINATED BY STRETCHING

To complement the discussion in the main text, here we consider the case of a (thin) shell dominated by stretching deformations. The work required to deform such a shell scales as $E c^3 R^2 h$, where $E$ is the elastic modulus of the walls and $c \sim A/R$ is the stretching strain for a small amplitude of deformation $A$. This work is converted into kinetic energy of the fluid that is pushed out, and scales as $\rho h R^3 (Af)^2$, where we have assumed that the fluid velocity scales as the product of the frequency $f_i$ and the amplitude $A$. Balancing the work and kinetic energy yields an estimate for the frequency of such a fluid-loaded & purely stretched soft elastic shell as

$$f_i \approx \frac{c'_\text{shape}}{2\pi} \sqrt{\frac{E}{\rho h}} \frac{h^{1/2}}{R^{3/2}},$$

(S1)

where $c'_\text{shape}$ is a dimensionless constant that is determined by the shape of the ventricle ($c'_\text{shape} \approx \sqrt{3}$ for a sphere, and $c'_\text{shape} \approx \sqrt{2}$ for a cylinder). We note that the scaling law is qualitatively different from the estimate obtained by balancing the bending energy and the kinetic energy (see (1) in the main text) - differing by a factor of $h/R$. For the dimensions of a human heart, $h \sim 10\,\text{mm}$, $R \sim 30\,\text{mm}$, $E \sim 10^4\,\text{Pa}$ and $\rho h \sim 10^3\,\text{kg/m}^3$, which gives an elastohydrodynamic resonance frequency $f_i \sim 10\,\text{Hz}$, larger than the one obtained when deformations dominated by bending by a factor $\sqrt{12R/h}$. For twist-driven pumping that is the typical mode of ventricular deformation, the shell deforms primarily via twist-induced buckling that leads to bending, so that the scaling law (1) in the main text is the appropriate one to characterize resonant pumping in the heart.

NUMERICAL SIMULATIONS

Elasticity

In order to simulate the twist induced buckling of the cylindrical shell, we minimize the elastic energy for Kirchhoff-Love shells [1]. This energy can be written in terms of the first fundamental form $a$ and second fundamental form $b$ of the mid-surface in the current configuration and the reference configuration (denoted by the subscript 0):

$$E = \frac{1}{2} \int_U \left[ \frac{h}{4} \| a^{-1} - I \|_e^2 + \frac{h^3}{12} \| a^{-1} (b - b_0) \|_e^2 \right] \sqrt{\det a_0} \, dx \, dy,$$

(S2)

The integral is evaluated over the range of parametric coordinates $(x, y) \in U \subset \mathbb{R}^2$, where $U$ defines the parametric domain whose mapping to $\mathbb{R}^3$ corresponds to the mid-surface embedding. The elastic norm $\| A \|_e^2 = \alpha \text{Tr}^2(A) + 2\beta \text{Tr}(A^2)$ defines the invariants of the strain $A$, with the coefficients $\alpha = E \nu_p/(1 - \nu^2)$ and $\beta = E/(2 + 2\nu_p)$ being the plane-stress Lamé parameters expressed in terms of the Young’s modulus $E$ and Poisson’s ratio $\nu_p$, of the St. Venant-Kirchhoff material model. We note that, for a thin plate ($b_0 = 0$), when the assumptions of moderate rotations and small in-plane strain assumptions are explicitly taken into account, this energy reduces to the Föppl-van Karman energy [2].

For our discrete approximation of the shell, the first and second fundamental forms of the mid-surface can be written as

$$a_{\text{triangle}} = \begin{pmatrix} \hat{e}_1 \cdot \hat{e}_1 & \hat{e}_1 \cdot \hat{e}_2 & \hat{e}_2 \cdot \hat{e}_2 \\ \hat{e}_1 \cdot \hat{e}_2 & \hat{e}_2 \cdot \hat{e}_2 & \hat{e}_2 \cdot \hat{e}_2 \end{pmatrix},$$

$$b_{\text{triangle}} = \begin{pmatrix} 2\hat{e}_1 \cdot (\vec{n}_0 - \vec{n}_2) & -2\hat{e}_1 \cdot \vec{n}_0 \\ -2\hat{e}_1 \cdot \vec{n}_0 & 2\hat{e}_2 \cdot (\vec{n}_1 - \vec{n}_0) \end{pmatrix},$$

where $\hat{e}_i$ represent directed edges of the triangle, and $\vec{n}_i$ represent normal vectors defined on all edges of the triangle mesh. The introduction to edge-normal vectors introduces extra degrees of freedom into the mesh; see [1] for an
exposition of this choice. This leads to a discretized expression of the elastic energy as a sum over all triangular faces, as further detailed in [1, 2]. The total energy is then minimized with respect to all free mesh vertex positions and the orientation of edge-normal vectors, given the rest configuration and appropriate loading/boundary conditions. The current implementation uses the L-BFGS method to minimize the total energy.

Hydrodynamics

The cylindrical shell is immersed in a fluid, the dynamics of which are computed by solving the incompressible Navier-Stokes equations in a three-dimensional Cartesian domain:

\[
\frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} = -\frac{1}{\rho_l} \nabla p + \nu \nabla^2 \mathbf{u} + \mathbf{f}^{bm},
\]

where \( \mathbf{u} \) is the fluid velocity vector, \( \rho_l \) is the density of the fluid and \( p \) is the hydrodynamic pressure. \( \mathbf{f}^{bm} \) is the force needed to enforce the influence of the cylindrical shell on the flow through the immersed boundary method.

The immersed boundary method the boundary condition of any immersed surface (here no-slip) is represented through a momentum source in the governing momentum equations. The equations are solved using an energy-conserving second-order centered finite difference scheme in a Cartesian domain with fractional time-stepping. An explicit Adams-Bashforth scheme is used to discretise the non-linear terms while an implicit Crank-Nicholson scheme is used for the viscous terms. Time integration is performed via a self starting fractional step third-order Runge-Kutta (RK3) scheme. Additional details on the numerical schemes and validation can be found in [9, 10]. The simulations are run in such a way that hydrodynamic stresses do not influence the structural dynamics. This allows us to explicitly test the dependence of pumping dynamics on the twist-untwist frequency.

Dynamics of pumping

In the attached Supplementary movie S1, we show animations of the pumping dynamics when the shell is immersed in the fluid. The dotted lines represent the domain and the flow is visualised in the mid-plane bisecting the cylindrical shell. The colour represents the velocity of the fluid in the axial direction.

EXPERIMENTS ON TWIST BUCKLING OF A CYLINDRICAL SHELL

To realise this experimentally, we build a model of a tubular heart-like pump made of an elastomer that ejects fluid by twisting and bending. The cylindrical shell of constant thickness \( h = 2.3 \) mm and radius \( R = 18.9 \) mm is obtained by spincasting a curing solution of silicone at 1000 rpm in a cylindrical mold. The geometry of the shell is characterised by the aspect ratio \( L/R \) and thickness ratio \( R/h \), where \( L, R \) and \( h \) are the length, radius and thickness of the shell, respectively. The shape evolution of such a shell is shown in Fig. S1. Such a device can yield ejection fractions of 50% with bending strains smaller than 30%, as shown in Fig. S2.

FIG. S1. Artificial pump undergoing controlled instabilities under twist. The shell thickness is \( h = 2.3 \) mm, its radius is \( R = 18.9 \) mm, \( R/h \approx 8.2 \).
FIG. S2. Ejection fraction as a function of the maximum bending strain of the shell, measured experimentally by determining the radius of curvature of the wrinkles. The different symbols indicate four independent series of tests. The dashed line is a guide for eyes.

HEART SIZE, SHAPE AND RATE DATA

Here we report the references that have been used in Fig. 3 of the main text. $R$ denotes the radius of the left ventricle at the end of the diastole regime. $h$ denotes the average thickness of the left ventricle at the end of the diastole regime. The reported value of the heart rate, $f_e$, is an average on adult male and female specimens. In an individual, the heart rate $f_e$ varies as a function of the temperature, stress level, physical activity, disease. Nevertheless, we made the choice to only report the average value for the healthy adult animal. In [11], the wall thickness $h$ is estimated from measurements of the end-diastole volume of the left ventricle and from the myocardial volume.
<table>
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<tr>
<th>Species</th>
<th>Genus</th>
<th>$R$ (mm)</th>
<th>$h$ (mm)</th>
<th>$R/h$</th>
<th>$f_0$ (Hz)</th>
<th>Sources</th>
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<td>0.2*</td>
<td>4.9*</td>
<td>16.7</td>
<td>[12]</td>
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<td>[5, 120, 199, 207] in [11]</td>
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<td>[13]</td>
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<td>4.2</td>
<td>[18, 34, 49, 104, 117, 154, 190] in [11]</td>
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<td>[27, 30, 100] in [11]</td>
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<td>0.7</td>
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TABLE S1. Heart geometry and heart rate in terrestrial mammals. (*) The Etruscan shrew heart wall thickness is estimated from the value of $R$ and the best fit $h = 0.21 \times R$ indicated in Fig. S1.
TABLE S2. Heart geometry and heart rate in marine mammals and birds. (*) The hummingbird heart wall thickness and the blue whale left ventricle radius are estimated from the value of $R$ and the best fit $h = 0.21 \times R^{1.15}$ indicated in Fig. S3.

<table>
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<th>Harbor seal</th>
<th>Blue whale</th>
<th>Hummingbird</th>
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<th>House sparrow</th>
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<th>Robin</th>
<th>Quail</th>
<th>Pigeon</th>
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<td>4.8</td>
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<td>8.2</td>
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<td>5.5</td>
<td>5.5</td>
<td>2.9</td>
<td>2.9</td>
<td>13.2</td>
<td>15.4</td>
<td>34.3</td>
<td>50.9</td>
<td>24.0</td>
</tr>
<tr>
<td>Balaenoptera musculus</td>
<td>200.0</td>
<td>94.0</td>
<td>200</td>
<td>Passer domesticus</td>
<td>3.5</td>
<td>2.1</td>
<td>8.2</td>
<td>13.2</td>
<td>8.2</td>
<td>13.2</td>
<td>15.4</td>
<td>15.4</td>
<td>34.3</td>
<td>50.9</td>
<td>24.0</td>
</tr>
<tr>
<td>*</td>
<td></td>
<td></td>
<td></td>
<td>Archilochus colubris</td>
<td>1.5</td>
<td>1.3</td>
<td>5.5</td>
<td>4.8</td>
<td>8.2</td>
<td>8.2</td>
<td>13.2</td>
<td>15.4</td>
<td>34.3</td>
<td>50.9</td>
<td>24.0</td>
</tr>
</tbody>
</table>

The best fit is given by \( h = C_h R^{1.15} \), where \( h \) and \( R \) are expressed in millimeters (dashed line), and the dimensional constant \( C_h = 0.21 \text{mm}^{-1.15} \). The corresponding prediction in terms of heart rate is given by \( f_e = f_t = C_f R^{-0.78} \), where \( f_e \) and \( R \) are expressed in Hertz and meters respectively (dashed line), and the dimensional constant \( C_f = 0.11 \text{Hz.m}^{1.78} \). The values of these parameters are averaged over the diastole and the systole. Green circles are terrestrial mammals, blue squares are marine mammals and magenta triangles are birds.


